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August 19, 2004

Yuko Tanaka

Signature

August 19, 2004  
Date

Date \_\_\_\_\_

orney's Docket No. 42P14248X

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**In Re Patent Application of:**

Nicolaescu et al.

Application No. 10/603,379

Filed: June 24, 2003

For: POLARIZATION INSENSITIVE  
METHOD AND APPARATUS FOR  
SWITCHING AND MODULATING  
AN OPTICAL BEAM

Examiner: Not Yet Assigned

Art Unit: 2874

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

Sir/Madam:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

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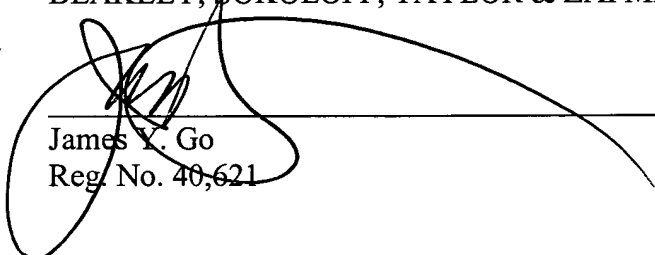
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If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

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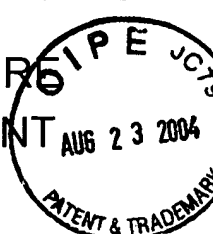
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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known

<div style="text-align: center;">  </div>			Application Number	10/603,379	
			Filing Date	June 24, 2003	
			First Named Inventor:	Nicolaescu et al.	
			Art Unit	2874	
			Examiner Name	Not Yet Assigned	
Sheet	1	of	1	Attorney Docket Number	42P14248X

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)				
	1.	US-	3,957,341	05-18-1976	Taylor	
	2.	US-	4,082,419	04-04-1978	Thompson et al.	
	3.	US-	4,869,569	09-26-1989	Kapon	
	4.	US-	5,862,276	01-19-1999	Karras	
	5.	US-	6,002,823	12-14-1999	Chandross et al.	
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## FOREIGN PATENT DOCUMENTS

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		Country Code <sup>3</sup>	Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

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